

PRINCIPLES

OF ORGANIZATION, EVALUATION AND COMPLETION OF DOCTORAL STUDY AND ESTABLISHING SUBJECT COMMITTEES OF DOCTORAL STUDY AT THE TECHNICAL UNIVERSITY OF KOŠICE, AS AMENDED No 1 -4

FULL VERSION

The Academic Senate of the Technical University of Košice pursuant to Act No131/2002 Coll. on higher educational institutions and on amendments to certain acts, as amended, para 9 sec. 1 (b), para 15 sec. 1 (l) and para 54 and the Statutes of the Technical University of Košice, para 49 sec. 4(c) approved the following Principles of organization, evaluation and completion of the doctoral studies and principles of establishing subject committees of the doctoral study at the Technical University of Košice as a University Regulation and the Rules of Study for the third level study programs:

§ 1

General provisions

(1) Doctoral study is a third-level study program of higher education. The general requirements for this study are stipulated in para 54 of Act No. 131/2002 Coll. on higher educational institutions and amendments to certain Acts, as amended.

(2) The admission procedure is defined in para 54 sec. 7 and para 58 of the Act and Annex No 1 of the Statutes of the Technical University of Košice (hereinafter referred to as "TUKE").

(3) Doctoral study can be organized in an internal (full-time) and external (part-time) form of study. The standard length of study in the doctoral study program is:

a) in the full-time form three or four academic years, the number of credits required for completion of doctoral study in the full-time form with the standard length of study:

1 180 credits in total over three academic years,

2. 240 credits in total over four academic years,

b) in the external form of study four or five academic years; the number of credits required for completion of doctoral study in the external form with the standard length of study:

1 180 credits in total over four academic years,

2 240 credits in total over five academic years.

(4) Doctoral study is carried out according to the individual study plan under the guidance of a supervisor.

(5) A condition for the successful completion of a doctoral study program is the defence of a final dissertation. The dissertation and its defence is one subject. The defence of the dissertation is a state examination (para 51, sec 3 of the Act).

(6) Degrees awarded to graduates of the doctoral study program, documents they receive, and the way they are issued are stipulated by the Act and the Statutes of TUKE.

§ 2

Provision and supervision of doctoral study at TUKE

(1) The Scientific or Artistic Board (hereinafter referred to as „SB“ or „AB“) of a faculty evaluates the level and provision of doctoral studies at the faculty, the Scientific Board of TUKE (hereinafter referred to as „SB TUKE“) provides evaluation at the university level at least once a year based on the reports submitted by Vice-Deans or Vice-Rector, respectively.

(2) Doctoral study programs in a given field of study are monitored and evaluated by a Subject Committee (hereinafter referred to as „SC“) set up for individual fields of study in compliance with para 54, sec. 17 of the Act at faculties (FSC) or in case of university study programs by the University Subject Committee (USC). The maximum number of FSC or USC members is 11, the minimum number of members is 5, and family relationships between members of committees are unacceptable. Members of Committees are appointed by the Dean, in case of university study programs (listed in the accreditation certificate) in the respective field of study upon approval of the SB or AB of the faculty or SB TUKE. Members of Subject Committees may also be representatives of external institutions (minimum a PhD degree is required or its alternative pursuant to para 110, sec.3 of the Act). If a higher educational institution conducts doctoral studies in cooperation with an external educational institution, the external educational institution has its appropriate representation in the respective Subject Committee.

(3) FSC and USC elect their Chair from among their members (para 54, sec. 17 of the Law). Letters of appointment are issued to the selected members by deans in FSC or in case of USC by rectors of the participating higher educational institutions on the basis of the agreement concluded according to para 54a of the Act.

(4) Activities of USC are governed by the Rules of Procedure approved by the Rector of TUKE; activities of FSC are governed by the Rules of Procedure approved by the Dean of the respective faculty.

(5) Supervisors for the doctoral study programs accredited at the faculty are appointed by the Dean or the Rector for the study programs accredited at the university. Supervisors may be professors, associate professors (holding the official

positions mentioned above), chief scientific associates and senior scientific associates of a faculty or university upon approval by the SB or AB of the faculty or university.

(6) An employee of an external educational institution or other university in the position mentioned in sec.5 of this paragraph may be appointed as a co-supervisor for an accredited study program.

(7) In justified cases, a supervisor- advisor from the teaching staff of the university, Slovak Academy of Sciences or an outstanding specialist holding minimum a PhD or ArtD (CSc., Dr.) degrees may be appointed for a particular topic or individual study plan.

§ 3

§ 3 Status, rights and obligations of a PhD student

(1) A Ph.D. student is a student of TUKE in an accredited doctoral study program, which is a study program of the third level of higher education (hereinafter referred to as "Ph.D. student").

(2) Doctoral study consists of a taught course (lectures, seminars, individual study) and a scientific or artistic research course in artistic study programs. The study plan is prepared by a supervisor with a Ph.D. student and is submitted to the committee for approval.

(3) Ph.D. students are subject to legislation on occupational safety.

(4) The presence or absence of a Ph.D. student's daily attendance depends on the specific study and work responsibilities defined by the study plan as well as on the agreement with the supervisor and the head of the department which the Ph.D. student is assigned to. The Ph.D. student is required to sign in his or her presence at the workplace. The time during which the Ph.D. student is obliged to stay at the workplace is determined by the faculty.

(5) Pursuant to the Act para § 54, section 11, teaching activities or other specific activities related to teaching maximum 4 hours per week on average per academic year constitute part of the doctoral student's study plan.

(6) The main organizational document of Ph.D. student's work is his or her individual study plan including lectures, seminars and other assignments given by the supervisor.

(7) If students of a faculty are given Dean's day off or students studying at TUKE are given Rector's day off, it also applies to full-time Ph.D. students.

(8) TUKE, a faculty or an external educational institution provide a scholarship to a full-time student in a doctoral study program. A full-time student in a doctoral study program who is a resident of a EU member state is entitled to a scholarship over the duration of the standard length of study in a study program which he / she is admitted to unless he / she has already obtained a tertiary level education:

a) until passing the dissertation examination in the amount of at least 9th class salary and 1st grade salary according to Act. No 553/2003 Coll. on remuneration for work performed in public interest and amendments to Acts, as amended,

b) after passing the dissertation examination in the amount of at least 10th class salary and 1st salary grade according to Act No 553/2003 Coll. on remuneration for work performed in public interest and amendments to Acts, as amended.

(9) A Ph.D. student does not get a scholarship during the study interruption period, and if the study exceeds the standard length of study.

(10) Under conditions stipulated by the Rules of Study, a Ph.D. student has the right to change his/her study program within the same field of study or a related field of study (para 70, sec. 1, (1) of the Act).

(11) A Dean or the Rector may, in justified cases, interrupt the study based on the proposal of the supervisor. The scholarship is not provided during the study interruption period.

(12) If a foreign Ph.D. student is studying on a full-time basis in the state language (during the standard length of study), the study is free of charge. If the study is in a language other than the state language, the Ph.D. student pays tuition fees for each academic year.

(13) The study according to the study program must not exceed its standard length by more than 2 years (para 65, sec. 2 of the Act). The Ph.D. study is completed by the defence of the dissertation by August 31 of the respective year.

(14) The study in the doctoral study program is duly completed by the defence of the dissertation, which is a state examination according to para 1, sec. 5 of these Principles.

§ 4

Admission procedure

(1) The main requirement for admission to a doctoral study program is completion of a Master' program.

(2) Before starting the admission procedure, TUKE or a faculty, if the study program is available at the faculty, issues a list of dissertation topics to be chosen from when applying for the doctoral study. A supervisor is assigned to each topic identified in it. Candidates seeking to undertake the doctoral study choose one of these topics. The deadline and conditions of the enrolment are posted by TUKE on the bulletin board, the TUKE or faculty website two months before the last day for submission of application forms.

(3) TUKE or a faculty, if the study program is available at the faculty, also includes the topics of doctoral dissertations proposed by supervisors together with an external educational institution among the topics listed for doctoral dissertations; each topic is provided with the name of an external educational institution. The external educational institution may provide the topics independently.

(4) If an applicant for doctoral study chooses a dissertation topic proposed by an external educational institution, the admission of this applicant to the doctoral study must be also approved by the external educational institution. The admission examination (para 54, sec.7 of the Act) is held in front of the examination committee, which consists of the members of TUKE and representatives of the external educational institution appointed by the director of the external institution. For the study programs provided at a faculty, the TUKE members are appointed by the Dean, for other study programs by the Rector. The admission examination may also be held by agreement between TUKE or a faculty and an external educational institution held at the premises of the external educational institution with the participation of TUKE representatives.

(5) The admission procedure for an applicant for the study begins with the submission of his / her written application for study on the form prescribed by the Ministry of Education, Science, Research and Sport of SR (hereinafter referred to only as "Ministry") to TUKE or the faculty that conducts the respective study program. The application form must be submitted by the deadline as set by TUKE or the faculty.

(6) The Dean decides on admission to a study program conducted by the Faculty. The Dean's decision on non-admission to the study may be changed by the Rector in accordance with sec. 8. The decision on admission to a study program conducted by TUKE is issued by the Rector.

(7) The decision on the results of the admission procedure must be made in writing within 30 days of the verification of fulfilment of the requirements for admission to the study. It must include a statement, reasoning and information on the possibility to submit a request to review the decision. It must be delivered to the applicant only.

(8) A candidate who is denied admission to the study can appeal the decision to the authorized body that issues the decision within eight days of the date of its receipt. If the decision is issued by the dean, he/she reviews the applicant's request and approves it if the non-admission decision is issued in violation of the Act, internal regulations of the university or the faculty. Otherwise the request for review is forwarded to the Rector. The Rector alters the decision if it is contrary to the Act, internal regulations of the university. If the non-admission decision is issued by the Rector, he reviews the request and changes the decision if issued in violation of the Act, internal regulations of the university. Otherwise the request is forwarded to the AS TUKE. The Senate reviews the request and change the decision if it is in violation of the Act. A written reply to the request must be sent within 30 days of delivery of the request for review of the decision of non-admission to the university or faculty.

§ 5

The course and evaluation of study

(1) Enrolment dates for the study are determined by the Rector or a Dean and are listed in the academic year schedule. The office for doctoral study confirms the enrolment for the study in the study report (if used at the respective faculty) and records it in the TUKE Students' Registry.

(2) If, for serious reasons, a student cannot attend the enrolment for the subsequent period of study (academic year, after termination of the study interruption period) and does not use the institute of power of attorney, he/she informs hereof the respective office within 3 working days in writing and requests for an alternative date for enrolment within 10 working days from the day of the enrolment date or termination of the study interruption period. Unexcused absence is considered as disinterest in the study and the last day of the period for an alternative date for enrolment is considered the day on which the student quits the study.

(3) The student must submit a receipt of payment of the annual tuition fee if the payment of tuition fees is stipulated by the Act (para 92, sec. 5 to 8), by virtue of the provisions of the contract, or when studying in a study program in an external form. The school and other study- related fees are governed by the TUKE Regulation.

(4) A student becomes the student of an accredited third degree (doctoral) study program on the date of the enrolment according to para 6 sec. 3 of the TUKE Statutes.

(5) The individual study plan is elaborated by the supervisor and the Ph.D. student and approved by the Chair of the FSC or USC. The study plan must be submitted for approval within 1 month from the beginning of the study.

(6) Ph.D. student's performance is evaluated according to his or her degree of success in the dissertation examination as well on an annual basis (evaluation of the level of the scientific part of the study, checking the status of assignments according to the individual study plan). Evaluation is done by the supervisor together with the Ph.D. student. The supervisor prepares and submits annual performance evaluation of the fulfilment of the Ph.D. student's individual study plan to the Rector or the Dean by 30 September of the calendar year at the latest. The supervisor also submits a proposal for continuation of the study, extension of the study period or exclusion from the study. Annual assessment of Ph.D. students is essential for evaluation of doctoral studies at TUKE or faculty in compliance with para 2, sec. 1 of this University Regulation.

(7) The study is evaluated according to the principles of the credit system pursuant to the Decree of the Ministry of Education of the Slovak Republic No 614/2002 Coll. on the credit system of study, para 54, sec. 2 of the Act and the principles defined in the provisions of this paragraph.

(8) For every subject completed over the period of study a Ph.D. student is awarded 20 credits. For successful passing of the dissertation examination a Ph.D. student is awarded 30 credits.

(9) The total number of credits required to be achieved over the period of full-time doctoral study, on condition that this study lasts three academic years, is 180. Of this number, a minimum of 90 and a maximum of 130 credits are required in the study part, a minimum of 50 and a maximum of 90 in the scientific part of the study.

(10) The total number of credits required to be achieved over the period of full-time doctoral study, on condition that this study lasts four academic years, is 240. Of this number, a minimum of 120 and a maximum of 170 credits are required in the study part, a minimum of 70 and a maximum of 120 credits in the scientific part of the study.

(11) The total number of credits required to be achieved over the period of external doctoral study, on condition that this study lasts four academic years, is 180. Of this number, a minimum of 90 and a maximum of 130 credits are required in the study part, a minimum of 50 and a maximum of 90 credits in the scientific part of the study.

(12) The total number of credits required to be achieved over the period of external doctoral study, on condition that this study lasts five academic years, is 240. Of this number, a minimum of 120 and a maximum of 170 credits are required in the study part, a minimum of 70 and a maximum of 120 credits in the scientific part of the study.

The credit values of publishing and artistic activities of Ph.D. students are listed in the appendix to these guidelines.

(13) Taking part of the study at another university within the academic mobility (para 58a of the Act) is regulated in para 11, sec. 9 to 12 of the TUKE Study Rules.

(14) A PhD student studying in a full-time study program must apply for a final dissertation examination:

(a) within 18 months from the beginning of the study, if the study lasts three academic years

(b) within 24 months from the beginning of the study, if the study lasts four academic years.

A PhD student in an external form of study program must apply for a final dissertation examination:

(a) within 24 months from the beginning of study, if the study lasts four academic years

(b) within 30 months from the beginning of the study, if the study lasts five academic years.

A Ph.D. student applies for the dissertation examination by submitting a written paper for the dissertation examination. In justified cases, exceptions are granted by the Dean or the Rector. The written thesis for the dissertation examination is focused on the theoretical basis of the problems that will be dealt with in the future dissertation, the current state of the problem being investigated and the analysis of the methodical approach to the solution. The written thesis for the dissertation examination must contain clearly defined theses and objectives of the dissertation. The dissertation examination is a state examination that consists of the defence of the written thesis for the dissertation examination and an examination in three subjects as specified in the study plan.

(15) The written thesis for the dissertation examination is reviewed by one opponent, who is an independent reviewer from outside the faculty where the Ph.D. student and his supervisor work. The opponent must be an expert with the minimum academic degree Ph.D. or Art.D. (CSc., Dr.).

(16) The dissertation examination is held in front of the examination committee. The dissertation examination and the announcement of the results are public. Members of the examination committee vote on the result of the dissertation examination in a non-public session of the examination committee.

(17) The right to examine and be members of the examination committee have only university teachers holding posts of professors and associate professors, experts working in the Slovak Academy of Sciences ((para 75, sec. 1 of the Act) and other experts from outside the faculty holding the minimum academic degree Ph.D. or Art.D. (CsC., Dr.) approved by the Scientific Board (SB) or Artistic Board (AB) of the faculty, in the case of university doctoral study programs with the approval of SB TUKE.

(18) Members of the dissertation examination committee for faculty study programs are appointed by the Dean, for university study programs by the Rector. Examination committees usually also include outstanding experts in the respective field of study from other higher education institutions, from legal entities engaged in the research and development or experts from practice. At least two members of the state examination committee are university teachers holding posts of professors or associate professors. If a Ph.D. student chooses a topic provided by an external educational institution, he / she takes the dissertation examination and the defence of the dissertation in front of the committee, which also includes members represented by an external educational institution.

(19) The dissertation examination committee consists of a minimum 5 members. At least one member of the committee is not a TUKE employee, at least one member of the committee must be a professor or associate professor in the respective or related field of study. The opponent and the supervisor are also members of the committee.

(20) 2/3 of the panel members need to be in attendance for the committee to be quorate. The following members must be present: the opponent (in case of not providing an explicitly positive assessment), a member of the committee outside TUKE and the supervisor.

(21) Each part of the dissertation examination is evaluated by the committee by "pass" or "fail". The committee decides on the results of the examination by voting. The decision is valid when more than half (majority) of those present agree on the decision. A report on the examination is written and includes the opponent's report. The report is signed by the Chair and members of the committee present. The Ph.D. student receives a written certificate of passing the dissertation examination issued by the supervising body.

(22) If a Ph.D. student fails the examination or part of it, he or she may retake the examination one more time. The new date of the examination is determined by the committee so that the second examination is held after 1 month at the earliest and three months at the latest after the failed examination. The "fail" result of this retaken examination is the reason for immediate exclusion of the student from the study (para 66, sec. 1 (c) of the Act).

(23) A Ph.D. student must present the results of his / her scientific part of the study in the form of original scientific work in scientific journals, presentations or posters at conferences, symposia, seminars, exhibitions and workshops both at home and abroad. The credit values assigned to these activities can be found in the Annex to this Regulation.

§ 6

Interruption of study

(1) The reasons for interruption of doctoral study include maternal leave, health reasons of a Ph.D. student or study abroad, if it is not part of the doctoral study program or his/her individual study plan. In the external study program, the reason for the interruption of study may also be a long-term business trip abroad.

(2) The overall period of all interruptions of doctoral study must not exceed 24 months.

§ 7

Defence of dissertation -- completion of study

(1) The doctoral study is completed by the defence of the final dissertation. The doctoral dissertation and its defence is one subject. The defence of the dissertation is a state examination.

(2) The student can apply for the defence of the dissertation upon fulfilment of the following conditions:

- a) Successful completion of the dissertation examination,
- b) Achieving a minimum of 150 credits according to para 5, sec. 5 of this University Regulation (full-time three-year study),
- c) Achieving a minimum of 210 credits according to para 5, sec. 6 of this University Regulation (full-time four-year study),
- d) Achieving a minimum of 150 credits according to para 5, sec. 7 of this University Regulation (external four-year study),
- e) Achieving a minimum of 210 credits according to para § 5, sec. 8 of this University Regulation (external five-year study),
- f) Publishing a paper under output category „A“ for the respective field of research.

A PhD student must submit a request for the dissertation defence 6 months before completion of the standard length of study at the latest.

(3) The request for the dissertation defence is submitted by the Ph.D. student to the Dean of the respective faculty or the Rector in case of university programs with the following documents attached:

- a) CV,
- b) a copy of the individual study plan,
- c) a copy of the certificate of passing the dissertation examination,
- d) a list of published papers arranged according to the Directive of the Ministry of Education of the Slovak Republic No 13/2008 - R until 31/12/2012 and from 1/1/2013 pursuant to the Decree No 456/2012 Coll. on the central registry of publishing activities and the central registry of artistic activities, in both cases in the form of a statement from Publications (EPC) TUKE,
- e) copies of the most significant publications as well as catalogues of the most important art exhibitions in case of artistic study programs,
- f) dissertation in the state language or in a world language in four printed copies and in electronic form in the number of copies corresponding to the number of members of the committee, a minimum of 10 copies, dissertation may be submitted as a separate work or as a set of publications with an introductory text,
- g) assessment of the supervisor,

(4) The Dean of the faculty or the Rector forwards the request pursuant to para 3 to the Chair of the FSC or USC for assessment. The Chair of the FSC or USC submits the request with the approval of FSC or USC to the Dean or the Rector with the proposal to appoint a committee for the defence of the doctoral dissertation and the opponents.

(5) The committee for the defence of dissertations consists of a minimum of 7 members including at least two opponents. An opponent cannot be a person who is related to Ph.D. student or his supervisor. The supervisor is another member of the committee without the right to vote. The Chair of the committee, members of the committee and the opponents are appointed by the Dean, in case of a university study program by the Rector on the basis of the proposal of FSC, or USC in accordance with the rules for appointing a committee for state examinations (para 63, sec. 3 and 4 of the Act, (para 5, sec. 15 of this Regulation). The Chair of the committee must be a member of the FSC, or USC, at least one of the members of the committee and one of the opponents must not be a TUKE employee. At least two of the members of the committee and one of the opponents must be professors in the positions in the respective or related field of study (the scientific discipline they have been initially appointed to). If the opponent is an employee of the university, he or she must have at least a scientific-pedagogical title of Associate Professor. In case of an expert outside the university, he or she must have at least a Ph.D. degree, or its equivalent. It is advisable that one member of the committee be from abroad.

(6) The committee has a quorum in the presence of 2/3 of all members (apart from the supervisor), at least one opponent and one member of the committee, who is not a TUKE employee, must be present. The opponent who submits a negative evaluation report must also be present.

(7) The appointed opponent writes a report within 30 days from the delivery of the dissertation and sends it in electronic format to the central registry of dissertations. The printed version of this report is also delivered to the supervisor's department. If the report is not submitted by the opponent within the time limit specified herein or after an additional request to do so within 14 days, the Dean or the Rector appoints a new opponent according to the Principles, sec. 4 and 5 of

this paragraph. The review contains an analysis of the advantages and possible shortcomings of the dissertation; it is brief and does not repeat the contents of the dissertation. The opponent's comments in the report include:

- a) actuality of the dissertation topic,
- b) methods of writing the dissertation,
- c) results of the dissertation and new knowledge,
- d) contribution to further development of science and technology (art),
- e) issues addressed,
- f) meeting the objectives of the dissertation.

In conclusion, the opponent comments on the dissertation, gives a general assessment of the level of the dissertation and explicitly states the proposal either for awarding or not awarding a Ph.D. or Art.D academic degree.

(8) Before the defence of the dissertation the TUKE University Library sends the dissertation in electronic format to the central registry of final, rigorous and habilitation works for the evaluation of originality of the dissertation. The result of the originality test is part of the state examination record. After the successful defence, the dissertation is published in the central registry of dissertations within the time period stipulated in para 63, sec. 9 of the Act. In order to be admitted to the defence procedure, except cases pursuant to para 63, sec. 11 of the Act, the student is required to give a written consent with publication and making the dissertation available to public during the period of its storage according to para 63, sec. 7 of the Act (70 years from the date of registration) without claiming a reward.

(9) A detailed procedure of submitting, evaluating originality and defence of dissertations is governed by a special internal regulation.

(10) After submitting all the assessment reports and the results of the dissertation originality test, the Chair of the committee determines, within 30 days, the date of the dissertation defence. TUKE or the respective faculty notifies of the date, time and place of the defence:

- a) a PhD student and his or her supervisor,
- b) members of the committee,
- c) opponents.

(11) TUKE or a faculty announces the time and date of the defence of the dissertation on the official noticeboard or on the website of the faculty or university and sends copies of opponents' assessment reports and the dissertation in electronic format to the members of the committee; copies of the opponents' reports are sent to the Ph.D. student and the supervisor.

(12) The dissertation defence is usually held at the workplace where a Ph.D. student submits his or her request for defence. In justified cases, on the proposal of FSC or USC, the defence may also be held in an external institution participating in the doctoral study.

(13) Dissertation defence is a state examination and is public. In exceptional cases, the Chair can allow the defence to be held in a non-public session. The defence of the dissertation is held in the form of a scientific discussion of the results presented in the dissertation between the PhD student, opponents, members of the committee and other participants of the defence. The defence of the dissertation is focused on the authenticity and validity of the results and conclusions of the dissertation.

(14) The defence of the doctoral dissertation is usually conducted by the Chair of the committee, in exceptional cases the defence can be conducted (upon approval of the FSC or USC and the Dean or the Rector) by a committee member (except the opponent and the supervisor).

(15) The defence of the dissertation is held according to the following procedures:

- (a) the Chair begins the defence by stating the quorum of the committee, introduces the Ph.D. student, briefly presents his or her curriculum vitae, the topic of the dissertation and the most significant scientific and artistic works and comments as responses to them, informs the committee about the results of the dissertation originality test,
- b) the Ph.D. student presents the important parts of the dissertation,
- c) the opponents read the essential parts of the opponents' reports, the report of the opponent absent is read in full,
- d) the Ph.D. student gives his or her opinion on the opponents' reports (objections, questions, points of view),
- (e) the Chair informs all the present about any other reports and opinions,
- (f) the Chair opens a general discussion in which members of the committee and all present take part,
- g) a Ph.D. student answers the questions and responds to comments,
- (h) the Chair terminates the public part of the defence.

(16) The committee decides on the result of the defence in a closed session by simple majority secret ballot voting. The vote is by ballot papers with the text "I agree with the academic degree - I disagree". Voters cross out the inappropriate phrase. Any other alteration in the voting paper is invalid.

(17) The result of the voting is recorded in the minutes signed by the Chair and all members of the committee.

(18) The result of the voting announced by the Chair of the panel in a public session.

(19) A proposal for awarding the academic degree Ph.D. or Art.D. is submitted by the Chair of the committee together with the minutes and other documents related to the defence to the Dean or the Rector within 15 days after the defence.

(20) If the student fails the defence of the dissertation, the Dean of the faculty or the Rector of TUKE in case of the university study program, based on the documents of the committee, communicates his/her decision in writing to the Ph.D. student by registered mail within 30 days from the date of failed defence of the dissertation

- (21) The conditions for retaking the defence of the dissertation are determined by the respective committee on the record of state exams.
- (22) If a Ph.D. student fails to defend his or her dissertation, he or she continues over-standard length of study and can defend the dissertation one more time, but not sooner than 6 months and not later than 2 years after the failed defence.
- (23) The student may submit a request to retake the defence of the dissertation 6 months at the earliest but not later than 17 months from the date of delivery of the decision.
- (24) If a student fails the retaken defence, the doctoral study is terminated with the result: fail.

§ 8

Interim and final provisions

(1) Pursuant to para 109 of the Act, students of doctoral study programs admitted to study according to previous regulations (valid until 1 April 2002) will complete their study according to these regulations. The right of TUKE or a faculty to conduct doctoral study programs, dissertation examinations, defence of dissertations and the right to confer scientific and academic degrees awarded according to the regulations valid until 1 April 2002 will cease to be exercisable on 31 December 2010 unless they cease to have effect at an earlier time.

(2) Decision-making related to the issues of doctoral study at TUKE, which are not explicitly governed by these Principles, is within the competence of the Rector or a Dean.

(3) The Principles of organization, evaluation and completion of doctoral study and the principles of establishing Subject Committees of doctoral studies at the Technical University of Košice, which were last approved by AS TUKE on 26 September 2006 and entered into force on 1 October 2006, are invalidated.

(4) The Principles of organization, evaluation and completion of doctoral degree and the principles of establishing Subject Committees of doctoral studies at the Technical University of Košice, their alterations and amendments enter into effect on the date of their approval by AS TUKE.

(6) These Principles of organization, evaluation and completion of doctoral degree and the principles of establishing Subject Committees of doctoral studies at the Technical University of Košice were approved by AS TUKE on 3 March 2008, Resolution No 18/2008.

(7) Other parts of the Principles of organization, evaluation and completion of doctoral degree and Principles of establishing Subject Committees of doctoral studies at the Technical University of Košice of 3 March 2008 remain unchanged.

(8) Appendix No 1 to the Principles of organization, evaluation and completion of doctoral degree and Principles of establishing Subject Committees of doctoral studies at the Technical University of Košice was approved by AS TUKE on 22 February 2010, Resolution No 08/2010.

§ 8a

Interim and final provisions to Appendix No 2

- (1) Requirements for successful completion of study for students admitted to the accredited study programs pursuant to Act on Universities valid until 31 December 2012 and before approval of Supplement No 2 of these Principles including descriptions of subjects and standard length of study remain in effect. including
- (2) Admission procedure to study due to commence from the beginning of the academic year 2013/2014 shall be completed according to the Regulation valid until 31 December 2012.
- (3) Doctoral study according to regulations in force until now is considered a third level study program pursuant to the Regulation in force since 1 January 2013.
- (4) Supplement No 2 to Principles of organization, evaluation and completion of doctoral study and principles of establishing Subject Committees of doctoral study at the Technical University of Košice was approved by AS TUKE on 24 June 2013 and comes into effect from 1 September 2013.

§ 8b

Final provisions to Supplement No 3

- (1) Supplement No 3 to Principles of organization, evaluation and completion of doctoral study and principles of establishing Subject Committees of doctoral studies at the Technical University of Košice was approved by AS TUKE on 14 April 2014, Resolution No13/2014 and enters into effect from 15 April 2014. The Academic Senate of TUKE also approved the full version of these Principles of organization, evaluation and completion of doctoral study and principles of establishing Subject Committees of doctoral study at the Technical University of Košice.

§ 8c

Final provisions to Supplement No 4

- (1) Annex to Principles of organization of doctoral study and establishing Subject Committees of doctoral study at the Technical University of Košice which determines and assigns credit values to publications and artistic activities is applicable to students of doctoral study admitted to study from the academic year 2015/2016.
- (2) Annex No 4 to Principle of organization, evaluation and completion of doctoral study and principles of establishing Subject Committees of doctoral study at the Technical University of Košice was approved by AS TUKE on 16 May 2016, Resolution No 15/2016 and comes into effect from 1 September 2016. The Academic Senate TUKE also approved the full version of these Principles of organization, evaluation and completion of doctoral study and principles of establishing Subject Committees of doctoral study at the Technical University of Košice.

Prof. Stanislav Kmeť, MEng., CSc.
Rector of TUKE

Assoc.Prof. RNDr. Dušan Olčák, CSc.
Chair of AS TUKE

Annex to Principles of organization and establishing subject committees of doctoral study at the Technical University of Košice

CREDIT ASSIGNMENT TO Ph.D. STUDENTS 'PUBLICATIONS

Publication category	Number of credits	
Paper in a scientific journal (i.e. in a journal indexed in Current Contents)	50	In order to be admitted to the dissertation defence procedure publication of an „A“ output category paper in the respective field of research is required
Paper in an indexed journal, peer-reviewed journal of scientific publications (indexed) or proceedings of an international conference in the Web of Science or SCOPUS database	20	
Scientific paper in a foreign journal in a world language	15	
Scientific paper in a domestic journal	10	
Scientific paper in peer-reviewed proceedings of an international conference in a world language	10	
Scientific paper in peer-reviewed proceedings of a domestic conference	8	

Output category „A“ for the respective field of research is determined according to the Criteria for evaluation of research, development, artistic and other creative activities as part of the comprehensive accreditation of the university activity and valid legislation.

CREDIT ASSIGNMENT TO Ph.D. STUDENTS' ARTISTIC ACTIVITIES

	Number of credits
Independent exhibition in a foreign renowned institution	50
Group exhibition in a foreign renowned institution	30
Independent exhibition in other foreign institution	30

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Group exhibition in other foreign institution	15
Independent exhibition in a domestic renowned institution	30
Group exhibition in a domestic renowned institution	15
Independent exhibition in other domestic institution	10
Group exhibition in other domestic institution	8

